This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT.
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

THIS PAGE BLANK (USPIL

WORLD INTELLECTUAL PROPERTY ORGANIZATION



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁵: C07F 7/08, 7/10 C10M 103/00, 105/76, 105/74 C01B 3/00, 7/00, C09K 3/00 C09D 4/00, 1/00, B32B 9/00 B32B 5/00, D06M 11/02, 13/322

(11) International Publication Number:

WO 92/00303

A1

(43) International Publication Date:

9 January 1992 (09.01.92)

(21) International Application Number:

PCT/US91/04506

(22) International Filing Date:

1 July 1991 (01.07.91)

(30) Priority data:

546,372

29 June 1990 (29.06.90)

US

(71)(72) Applicants and Inventors: MCCARTHY, James, P. [US/US]; P.O. Box 1759, Janesville, WI 53547 (US). GREENE, George, H. [US/US]; Croton-Hudson, NY 10520 (US). DEWAR, Anthony, G. [US/US]; P.O. Box 1759, Janesville, WI 53547 (US).

(74) Agents: GRUDZIECKI, Ronald, L. et al.; Burns, Doane, Swecker & Mathis, George Mason Building, Washington and Prince Streets, P.O. Box 1404, Alexandria, VA 22313-1404 (US). (81) Designated States: AT (European patent), AU, BE (European patent), BR, CA, CH (European patent), DE (European patent), DK (European atent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).

Published

With international search report.

(54) Title: CATIONIC SILICONES

(57) Abstract

A cationic silicone compound which can be employed in a variety of environments, such as a fiber lubricant, textile fabric softener, hair conditioner, and skin conditioner. The cationic silicone is formed from an amino silicone compound which has formula (1), wherein a, b, and c are 0-300; d is 0-4, preferably 1 or 2; R_1 comprises hydrogen, an alkyl, aryl, alkynyl, alkenyl, alkyloxyalkylene group which may be unsubtituted or substituted with P, N, or S moieties; R_2 is defined the same as R_1 and can also be a carboxylic acid residue preferably a fatty acid residues, with the proviso that where R_2 is a carboxylic acid residue, the R_1 attached to the terminal N is hydrogen; R_3 is defined the same as R_2 and can also be alkoxy, aryloxy or oxyalkylene groups; R_4 is defined the same as R_3 and can also be an amino-substituted alkyl group; Z_1 and Z_2 can be the same or different and comprise an alkyl, aryl, alkenyl or alkynyl group with the proviso that if b is 0, then at least one of the R_4 groups comprises an amino-substituted alkyl group. In particular, the aminosilicone is quaternized using known quaternizing agents, e.g., alkylation reagents.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MN	Mongolia
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GN	Guinea	· NL	Netherlands
BJ	Benin	GR	Greece	NO	Norway
BR	Brazil	HU	Hungary	PL	Poland
CA	Canada	lT	Italy	RO	Romania
CF	Central African Republic	JP	Japan	SD	Sudan
CC	Congo	KP	Democratic People's Republic	SE	Sweden
CH	Switzerland		of Korca	SN	Senegal
CI	Côte d'Ivoire	KR	Republic of Korea	รบ	Soviet Union
CM	Cameroon	LI	Liechtenstein	TD	Chad
CS	Czechoslovakia	LK	Sri Lanka	TG	Togo
DE	Germany	LU	Luxembourg	US	United States of America
DK	Denmark	MC	Мопасо		

, 5

10

15

20

25

30

35

CATIONIC SILICONES

Background of the Invention

The present invention relates to cationic silicone compounds.

The use of silicone compounds in the treatment of synthetic fibers is known in the art. See, for example, the discussion of epoxy silicones in US Patent 2,947,771 to Bailey et al. Such silicone compounds are effective in both providing increased lubricity of the fiber and improved softness for fabrics made from these fibers. However, these epoxy silicones suffer from the disadvantage that they only possess a limited durability when employed with synthetic fibers.

The art has also looked to certain amino silicones in the treatment of fibers. Because these silicones possess no net charge, they cannot be effectively bonded to cellulosic or proteinaceous materials. In fact, when used in connection with conventional polyester fiber/cotton blends, the amino silicones will bond only, to the polyesters within the blends.

In an attempt to overcome these problems, it is known to use cationic compounds which are bonded to the cellulosic materials. See, for example, the discussion in "Household Fabric Softeners- The Chemistry and Characteristics of Cationic Softeners" by J.P. McCarthy et.al., in Soap, Cosmetics, and Chemical Specialities, January 1989, page 33-35.

However, these cationic compounds also suffer from disadvantages because they impart only a moderate level of softness to the fibers. In addition, multiple launderings tend to remove the treatment thereby diminishing even this moderate level of softness.

Moreover, certain cationic compounds such as certain specific cationic polyorganodisiloxanes (see, for example, U.S. Patent No. 4,472,566 to Ziemilis et al) and quaternary nitrogen derivatives of organosiloxanes (such as those discussed within U.S. Patent No. 4,185,087 to Morlino) are known in the art.

For example, the following cationic silicones are disclosed in U.S. Patent 4,472,566:

5
$$(CH_3)_3-Si-O$$
 $Si-O$
 $Si-$

$$(CH_3)_3-Si-O = \begin{cases} CH_3 \\ Si-O \\ CH_3 \end{cases} = \begin{cases} CH_3 \\ Si-O \\ CH_2 \end{cases} = Si(CH_3)_3$$

$$(CH_2)_2 = Si$$

$$OH$$

$$S$$

$$OH$$

$$S$$

$$OH$$

$$S$$

$$OH$$

$$S$$

$$OH$$

$$S$$

$$OH$$

5

10

15

20

25

30

These compounds are traditionally employed in hair care products. In such compositions, the cationic compounds exhibit superior conditioning capability for hair because they have an affinity for bonding to proteinaceous substrates such as hair.

In addition, certain quaternary siloxane copolymers derived from loxanes containing epoxy groups are disclosed in U.S. Pater 4,895,964 to Margida, U.S. Patent 4,891,166 to Schaefer et 2., and Great Britain Patent 2,201,433A.

It is an object of the present invention to provide cationic silicone compounds which may be employed in a variety of environments such as a fiber lubricant, textile fabric softener, hair conditioner, and/or skin conditioner.

This and further objects will become apparent from the specification and claims that follow.

Summary of the Invention

In accordance with the foregoing objectives, the present invention relates to a cationic silicone compound which can be employed in a variety of environments, such as a fiber lubricant, textile fabric softener, hair conditioner, and skin conditioner.

The cationic silicone according to the present invention is formed from an amino silicone compound which has the following Formula (I):

wherein a, b, and c are 0-300;

5

15

20

25

30

d is 0-4, preferably 1;

 R_1 comprises hydrogen, or an alkyl, aryl, alkynl, alkenyl, or alkyloxyalkylene group which may be unsubstituted or substituted with P,N, or S moieties;

 R_2 is defined the same as R_1 and can also be a carboxylic acid residue preferably a fatty acid residues, with the proviso that where R_2 is a carboxylic acid residue, the R_1 attached to the terminal N is hydrogen;

 R_3 is defined the same as R_2 and can also be alkoxy, aryloxy or oxyalkylene groups;

 R_4 is defined the same as R_3 and can also be an aminosubstituted alkyl group;

 ${\bf Z_1}$ and ${\bf Z_2}$ can be the same or different and comprise an alkyl, aryl, alkenyl or alkynl group

with the proviso that if b is 0, then at least one of the R_{λ} groups comprises an amino-substituted alkyl group.

In particular, the aminosilicone is quaternized using known quarternizing agents, e.g., alkylation reagents.

The preferred cationic silicones according to the present invention include:

10

15

20

25

30

35

$$R_{4} - \underset{R_{3}}{\overset{R_{3}}{\overset{}{=}}} \circ - \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}}_{\overset{R_{3}}{\overset{}{=}}} \circ \underbrace{\begin{pmatrix} R_{3} \\ \text{si} - \\ R_{3} \end{pmatrix}$$

where R_5 is defined in the same manner a R_1 above, preferably, either a hydrogen or an alkyloxyalkylene group;

 R_6 is an unsubstituted or substituted alkyl or aryl group, preferably, an alkyl group;

 R_3 and R_4 can be the same or different and comprises an alkyl group or an alkyloxyalkylene group;

 \mathbf{Z}_1 and \mathbf{Z}_2 are the same or different and each represent an alkyl group;

b is 1-300;

d is 0-4, preferably 1; and

X is a counter ion, preferably, methosulfate.

where R_7 is a carboxylic acid residue, preferably a fatty acid residue;

 R_8 is defined in the same manner a R_1 above, preferably, either a hydrogen $\cdot x$ an alkyloxyalkylene group;

 $R_{\rm p}$ is an unsubstituted or substituted alkyl or aryl group, preferably, an alkyl group;

 R_3 and R_4 can be the same or different and comprises an alkyl group or an alkyloxyalkylene group;

 $\rm Z1$ and $\rm Z_2$ are the same or different and each represent an alkyl group;

b is not less than 1;

d is 0-4, preferably 1; and
X is a counter ion, preferably, methosulfate.

where R₃ represents an alkyl group;

5

15

20

25

30

35

 R_4 represents an amino substituted alkyl or aryl group, preferably, an amino substituted alkyl group comprising :

 R_{12} where R_{10} is defined is the same manner as R_1 (except for hydrogen), preferably an alkyl group;

 R_{11} is an unsubstituted or substituted alkyl or aryl group, preferably, an alkyl group;

 $\rm R_{12}$ is either hydrogen or an alkyloxyalkylene group; and

X is a counter ion, preferably, methosulfate.

The present invention also relates to certain novel compounds which are generally represented by formula (I) and the method for making these compounds. These compounds include:

wherein b is 1-300;

d is 0-4, preferably 1;

20

30

35

 R_1 and R_2 comprises an alkyloxyalkylene group which may be unsubstituted or substituted with P,N, or S moieties, preferably unsubstituted;

 R_3 and R_4 are the same or different and comprise an alkyl group or an alkyloxyalkylene group;

 \mathbf{Z}_1 and \mathbf{Z}_2 can be the same or different and comprise an alkyl group.

10
$$R_{4} = \begin{bmatrix} R_{3} \\ Si \\ R_{3} \end{bmatrix} = \begin{bmatrix} R_{3} \\ Si \\ R_{3} \end{bmatrix} = \begin{bmatrix} R_{3} \\ Si \\ Z_{1} \end{bmatrix} = \begin{bmatrix} R_{3} \\ Si \\ R_{3} \end{bmatrix} = \begin{bmatrix} R_{3}$$

wherein b is 1-300;

d is 0-4, preferably 1;

R, and R, are defined above;

 R_3 and R_4 are the same or different and comprise an alkyl group or an alkyloxyalkylene group;

 \mathbf{Z}_1 and \mathbf{Z}_2 can be the same or different and comprise an alkyl group.

where R_4 comprises certain amino substituted alkyl or aryl group, preferably contain an amino substituted alkyl group;

 $\mathbf{R}_{\mathbf{3}}$ is an alkyl group or an alkyloxyalkylene group.

In particular, R_4 comprises an alkoxylated, preferably ethoxylated, amino substituted alkyl group comprising:

10

15

20

30

35

or an amidified amino substituted alkyl group comprising:

where R_7 and R_{10} were defined above.

In still another aspect of the present invention, the cationic silicones are employed as lubricants for use with a variety of fibrous materials.

Detailed Description of the Preferred Embodiments

The present invention relates to the reaction of an amino silicone with a quaternizing agent in order to provide a cationic silicone compound.

The amino silicones which can be employed in the formation of the cationic silicones of the present invention have the following formula (I):

25 wherein a, b, and c are 0-300;

d is 0-4, preferably 1;

 R_1 comprises hydrogen, an alkyl, aryl, alkynl, alkenyl, alkyloxyalkylene group which may be unsubstituted or substituted with P,N, or S moieties;

 R_2 is defined the same as R_1 and can also be a carboxylic acid residue, preferably a fatty acid residue, with the proviso that where R_2 comprises a carboxylic acid residue, R_1 attached to the terminal N is hydrogen;

 R_3 is defined the same as R_2 and can also be alkoxy, aryloxy or oxyalkylene groups;

 $\rm R_4$ is defined the same as $\rm R_3$ and can also be an aminosubstituted alkyl group;

 $\rm Z_1$ and $\rm Z_2$ can be the same or different and comprise an alkyl, aryl, alkenyl or alkynl group;

with the proviso that if b is 0, then at least one of the R_{λ} groups comprises an amino-substituted alkyl group.

According to the process of the present invention, the compounds according to formula (I) are reacted with a quaternizing agent in order to form the cationic silicone.

5

10

15

20

25

The quaternizing agents which can be employed in the present invention include those known alkylation reagents such as alkyl sulfates, aryl sulfates, alkyl halides and aryl halides. Specific examples of these compounds include diethylsulfate, dimethylsulfate, methyl chloride, methyl iodide, benzyl iodide, and benzyl chloride.

Although the quaternizing agent will vary depending on the specific application, dimethyl sulfate is generally preferred.

A solvent can be employed to control the viscosity of an ematerial during the reaction. Suitable solvents include isopropyl alcohol, ethyl alcohol, ethylene glycol, diethyl glycol, and polyoxyalkylenes. Isopropyl alcohol is preferred in applications where the odor of isopropyl alcohol is not critical or where flammability is not an important consideration.

In an example of the present invention, an amino silicone according to formula (Ia) where at least one R_1 group is hydrogen is reacted with a quaternizing agent to form an amine salt according to formula (IIa). An example of the process according to the present invention is illustrated below:

-10-

CH₃-0-S-0-CH₃

5

25

30

35

Although these reactions can be conducted at temperatures in the range of about 20°C to about 160°C, the preferred temperature range is about 25°C to about 40°C. The use of higher temperatures may introduce problems associated with discoloration.

Generally, these reactions are conducted under an inert atmosphere such as nitrogen to prevent discoloration, although

they may be conducted in air.

In addition, the present invention also relates to certain novel amino silicone compounds which are also generally represented by Formula (I) and methods for making these novel compounds. For example, certain amino silicones employed in the process of the present invention can be

-11-

alkoxylated and/or amidified prior to being reacted with the quaternizing agent.

5

10

15

20

25

The novel aminosilicone can be produced through the use of known aminosilicone compounds. Examples of known aminosilicone compounds which can be employed in producing these novel aminosilicones include aminopolysiloxane compounds such as Shin-Etsu KF-383, and Magnasoft fluid (Magnasoft is a trademark of Union Carbide). Such compounds are also generally represented by Formula (Ia) where R_1 and R_2 each represent hydrogen, and R_3 and R_4 each represent alkyl groups, preferably methyl groups.

Moreover, although the present invention will be described in terms of certain preferred embodiments, in particular, where d is 1 and $\rm Z_2$ is $-CH_2CH_2-$, one of ordinary skill clearly would recognize that these discussions are equally applicable to all embodiments of the invention.

For example, the above discussed amino silicone compound ,i.e, an aminosilicone where at least one R_1 or R_2 is hydrogen, can be reacted with an alkylene oxide to convert the primary and secondary amine sites to tertiary amine sites. This reaction product, Figure (Va), in which none of the R_1 groups are hydrogen, can then be reacted with the quaternizing agent in order to form a quaternary amino compound.

The first step of this process provides an compound according to a formula (Va) where each of the R_1 and R_2 which were hydrogen have been replaced with an oxyalkylene group.

An example of the reaction is illustrated below:

15

10

5

20

30

35

The alkylene oxides which can be employed include
25 ethylene oxide, propylene oxide, butylene oxide, or mixtures thereof.

Preferably, alkoxylation is conducted at about 120°C to about 160°C in an oxygen-free atmosphere. Oxygen should be carefully excluded to prevent discoloration. Optionally, a solvent such as 2-propanol, may be used to facilitate reaction at temperatures of about 20°C to about 110°C.

These alkoxylated tertiary nitrogen sites can then be reacted with the above described quaternizing agents so as to form the cationic, quaternary amines in the manner illustrated below. This compound is illustrated by formula (IIb).

PCT/US91/04506

10

5

15

20

$$R_{4} = \begin{array}{c} R_{3} \\ Si = 0 \\ R_{3} \\ R_{3} \\ \end{array} = \begin{array}{c} R_{3} \\ Si = 0 \\ R_{3} \\ \end{array} = \begin{array}{c} R_{3} \\ Si = 0 \\ R_{3} \\ \end{array} = \begin{array}{c} R_{3} \\ Si = 0 \\ R_{3} \\ \end{array} = \begin{array}{c} R_{3} \\ Si = 0 \\ C \\ R_{3} \\ \end{array} = \begin{array}{c} R_{3} \\ Si = 0 \\ C \\ R_{3} \\ \end{array} = \begin{array}{c} R_{3} \\ Si = 0 \\ C \\ R_{3} \\ \end{array} = \begin{array}{c} R_{3} \\ Si = 0 \\ C \\ R_{3} \\ \end{array} = \begin{array}{c} R_{3} \\ R_{3} \\ \end{array} = \begin{array}{c} R_{4} \\ CH_{2} \\ CH_{2} \\ CH_{2} \\ CH_{3} \\ CH_{2} \\ \end{array} = \begin{array}{c} CH_{3}SO_{4} \\ CH_{2}CH_{2} - O -] - H \\ \end{array} = \begin{array}{c} CH_{3}CH_{2} - CH_{2}CH_{2} - O -] - H \\ CH_{2}CH_{2} - O -] - H \end{array}$$

25

30

35

The preferred reaction conditions for this reaction are the same as those for the quaternization reaction previously described.

These quaternary amines can be either water insoluble or water soluble depending on the concentration of amino-containing mer found in the original aminosilicone.

In another embodiment of this invention, an additional novel family of amino silicone compositions, also generally represented by Formula (I), may be made through an amidification process in order to introduce a carboxylic acid residue, preferably a fatty acid residue, onto the terminal N.

In a preferred embodiment, these compounds may be synthesized by reacting those aminosilicones described above with a glyceride in order to convert the amine into an amide.

10

15

25

30

35

In order to perform this process, an aminosilicone must be selected such that both of the R_1 and the R_2 groups in the terminal N are hydrogen. It is apparent that the reaction product, as illustrated by Figure (VIb), comprises Figure (I) where R_2 comprises a substituted carboxy group.

In this process, any glyceride can be employed. Although oils such as coconut, palm, palm kernel, tallow, soybean, orange roughy, and jojoba are preferable.

An example of this reaction is illustrated below:

Preferably, these compositions are synthesized under the conditions subsequently described. Amidification of the primary amine site is conducted at about 120°C to about 160°C under an inert gas atmosphere to prevent discoloration.

The compositions resulting from amidification may be

35

PCT/US91/04506

subsequently alkoxylated with alkylene oxide compositions as previously described. The entirely new family of compositions which result from this reaction, Figure (VIb), is also included within Formula (I) where each of the R_1 which were hydrogen, except for that R_1 group on the terminal N have been replaced with oxyalkylene groups.

An example of this reaction is as follows:

the resulting compositions may be quaternized by reaction with alkylating agents as previously described. The compositions which result from this reaction are illustrated by formula (IIIa).

An example of this process is illustrated below:

The amidified product, i.e., where R_2 is a fatty acid residue, may be alkylated directly after amidification, i.e., where no intermediate alkoxylation occurs.

The product, Formula (IIIb), resulting from this process is illustrated below.

$$R_{4} = \begin{bmatrix} R_{3} \\ S_{1} \\ R_{3} \end{bmatrix} \circ \begin{bmatrix} R_{3} \\ S_{1} \\ R_$$

25

30

35

5

10

In yet another embodiment of the invention, the compound according to formula (I) where at least one of the R₄ groups is a substituted amino group, Formula (IVa), is employed in the quaternization process. Such compounds where the amino group is substituted with, e.g., hydrogen are known in the art.

In a preferred embodiment, b is equal to 0 while R_4 preferably comprises an amino substituted alkyl or aryl, more preferably, an amino substituted alkyl group. These compounds can be employed in the same manner as the previously discussed compounds.

For example, an amino salt, Formula (IVa), may be formed by the following process.

5 (VIIa)

15

25

30

(IVa)

CH3SO4

As was the case previously, these compounds may also be alkoxylated prior to quaternization. The resulting product is a novel class of aminosilicone compounds. For example, the compound of Formula (VIIa) may be reacted with ethylene oxide to form the following formula (VIIb):

HOCH₂CH₂ R₃
$$\stackrel{R_3}{\underset{\text{HOCH}_2\text{CH}_2}{\text{CH}_2}} \circ \stackrel{R_3}{\underset{\text{R}_3}{\text{CH}_2}} \circ \stackrel{R_3}{\underset{\text{R}_3}{\text{CH}_2}} \circ \stackrel{R_3}{\underset{\text{R}_3}{\text{CH}_2}} \circ \stackrel{R_3}{\underset{\text{R}_3}{\text{CH}_2}} \circ \stackrel{R_3}{\underset{\text{CH}_2\text{CH}_2\text{OH}}{\text{CH}_2}} \circ \stackrel{R_3}{\underset{\text{CH}_2\text{CH}_2}{\text{CH}_2}} \circ \stackrel{R_3}{\underset{\text{CH}_2}} \circ \stackrel{R_3}{\underset{\text{CH}_2$$

This compound may then be quaternized to form the following formula (IVb):

PCT/US91/04506

Furthermore, these compounds may also be amidified. For example, the compound according to formula (VIIa) may be reacted with a glyceride, such as tallow oil, to form a compound according to formula (VIIc) This also results in a novel class of aminosilicone compounds:

Tailow-C R₃
$$\longrightarrow$$
 $\stackrel{R_3}{\underset{R_3}{\text{railow}}} -0$ $\stackrel{R_3}{\underset{R_3}{\text{railow}}} -0$

20

25

30

35

5

10

However, if the R_4 groups are amidified in the above manner, then the N in the R_4 group may not be reacted any further, i.e, they may not be alkoxylated or quaternized.

The cationic silicone compositions of the present invention can be employed as a lubricant for fibers such as polyester, nylon, acrylic, aramides, cotton, wool, and blends thereof.

Methods of application for these cationic silicones include those traditionally employed in the art, such as padding and by kiss roll. These materials can also be employed as treatments for hair, skin and textiles.

It is believed that the wide utility of these compositions is related to their ability to impart good boundary lubricity to a variety of substrates. On fiber and textiles, low boundary friction is perceived as softness. Similarly, on hair or skin, lower boundary friction imparts an aesthetic of softness or silkiness.

-20-

For use on textiles, a solution or dispersion of silicone is prepared and applied to a swatch of textile by padding. If necessary, auxiliary emulsifiers may be used to facilitate emulsification of the silicone. Following padding, the experimental fabric sample is dried in an oven at 100 C- 170 C for a period of 3 minutes-20 minutes. Alternatively, the silicone may be dissolved in a solvent such as toluene or isopropyl alcohol. These solvents are intended to be considered as examples. Other useful solvents will be apparent to those skilled in the art. After removal of the solvent, the fabric is cured in the aforementioned manner.

5

10

15

20

25

30

35

Application to synthetic fiber such as polyester may be achieved by applying an emulsion of said silicone to the polyester tow either before crimping a stuffer box or prior

to passage through a relaxer oven. Following treatment and curing, the resulting product may be used in the original tow form or chopped into staple. One potential use for these products is as a fiberfill, which is used as filling materials in pillows, comforters, insulated clothing, and sleeping bags.

In another embodiment, fabrics may be treated by the silicone which has been dissolved in an organic solvent, e.g., dry cleaning fluid. The composition may also be used to condition fabrics, particularly during or immediately following a laundering process. The composition may be included in a laundering composition, in a composition intended to be added to the rinse subsequent to washing the fabrics, or included in a composition intended to be added with wet fabrics to a dryer.

For example, the cationic silicones may be added to a liquid or solid fabric washing product which, in addition to the cationic silicone may comprise surfactants selected from anionic, nonionic, and cationic surfactant materials, mixtures thereof, or with other surfactant materials, detergency builder materials such as water-soluble precipitating or sequestering builder material or ion-exchange builder materials, bleaches, such a peroxy bleaches, optionally

-21-

together with bleach activators, alkaline materials such as sodium silicate, fillers such as sodium sulfate, and also other conventional ingredients of such compositions.

5

10

15

20

25

30

35

Alternatively, the cationic silicones may be employed within a rinse conditioning composition, for example, a liquid rinse conditioning composition which in addition to the cationic silicone may comprise electrolytes, emulsifiers, viscosity modifying agents, thickeners, colorants, and also other conventional ingredients of such compositions.

still further, the cationic silicones may be employed in a product for use in a dryer, e.g., a hot air rotary dryer, for example, in the form of a powder contained within a dispensing device or in the form of a coating on, or impregnation of, a flexible substrate material, which may be in any suitable form, e.g., sheet form.

These cationic silicones may also be applied to hair by incorporation into formulated products such as conditioners, shampoos, and other hair care products.

Similar compositions and application techniques may be used with pelts of natural animal furs such as mink otter, raccoon and the like. Animal fur is also meant to include the coats of animals such as dogs and cats. These compositions may also be advantageously applied to synthetic furs made of acrylic and other fibers.

Application to skin may be achieved by formulating with emollients to make lotions, creams, and sun screening preparations and applying to skin by rubbing, spraying and other techniques.

These cationic silicones can also be employed within automobile care products when formulated with appropriate cleansers, waxes, and solvents. These products may be in the form of pastes, creams, or liquids. They may be used for the care of exterior finishes and trim as well as for interior care of vinyl and plastics.

The cationic silicones according to the present invention may be also used in the treatment of glass, ceramic or mineral

-22-

fibers. In such environments it can be effectively employed as an alternative to traditional silane coupling agents.

In order to further illustrate the present invention and the advantages associated therewith, the following specific examples, it being understood that the same are intended only as illustrative and in nowise limitive.

5

10

15

20

25

30

35

EXAMPLES

EXAMPLE 1. Preparation of ethoxylated aminopolysiloxane.

A pressure reaction apparatus equipped with mechanical stirrer was charged with 900 g. of Shin-etsu KF-393. 173 g of ethylene oxide was added at 120 C-140 C and stirred under a nitrogen atmosphere until the pressure and temperature indicated that all of the ethylene oxide had reacted. Analysis of weight percent tertiary amine content revealed

100% conversion.

EXAMPLE 2. Preparation of ethoxylated aminopolysiloxane compositions

A pressure reaction apparatus equipped with a mechanical stirrer was charged with 888 g of Dow-Corning Softener SSF. 33 g of ethylene oxide was added at 120°C-140°C and stirred under a nitrogen atmosphere until pressure and temperature indicated that all of the ethylene oxide had reacted. Analysis of weight percent tertiary amine revealed 100% conversion. The product had an APHA color of less than 100.

EXAMPLE 3. Preparation of ethoxylated aminopolysiloxane compositions.

A pressure reaction apparatus equipped with a mechanical stirrer was charged with 4156 g of Shin-Etsu KF-393. 780 g of ethylene oxide was added at 120°C-140°C and stirred under a nitrogen atmosphere until pressure and temperature indicated that all ethylene oxide had reacted. Analysis of weight percent tertiary amine content revealed 100% conversion. The product's color was less than 100 APHA.

2

PCT/US91/04506

5

10

15

25

35

The ethoxylated aminoploysiloxane was cooled to 27 C and

1580 g removed. To the remaining 3280 g was added 750 g of isopropanol and 292 g of dimethyl sulfate. After thorough mixing, 1951 g of this material was removed. This product had a free amine content of 48%. The product's color was less than 100 APHA.

Using good stirring, 165 g of isopropanol and 246 g of dimethyl sulfate was added to the remaining 2370 g of ethoxylated aminopolysiloxane. The product contained 11.4% free amine, 75.5% solids and APHA color of less than 100.

EXAMPLE 4. Preparation of ethoxylated aminopolysiloxane quaternary compositions.

A 1500 ml. beaker was charged with 900 g of ethoxylated Shin-Etsu KF-859. Then, 14.52 g of dimethyl sulfate was added at 27 C using mechanical agitation. The resulting product had a free amine content of 8.8% and APHA color of less than 150.

20 EXAMPLE 5. Preparation of ethoxylated aminopolysiloxane quaternary compositions

A 1500 ml. beaker was charged with 739 g of ethoxylated Magansoft Fluid. Then, 25 g of isopropanol and 17.86 of diethyl sulfate were added with mechanical agitation and maintained at 55°C-65°C. The resulting product had a free amine content of 29%.

EXAMPLE 6. Preparation of aminopolysiloxane quaternary salt

30 compositions

In a 1500 ml beaker, 1000g of Magansoft Fluid and 100g of isopropanol were thoroughly mixed using mechanical agitation. After heating to 71 C, 13g of dimethyl sulfate was added while maintaining good agitation. The final product had a free amine content of 36% and solids of 92 weight percent.

-24-

EXAMPLE 7. Preparation of ethoxylated amide aminopolysiloxane Quaternary compounds

5

10

15

20

25

A pressure reaction vessel equipped with a mechanical stirrer was charged with 2770g of Shin-Etsu KF-393 and 1090g of tallow. While stirring, the mixture was heated for 6 hours at 160 C under a nitrogen atmosphere.

The amide aminopolysiloxane intermediate was then cooled to 104 C. Ethylene oxide was added until 100% tertiary amine was achieved. A total of 308g of ethylene oxide was required for complete conversion to tertiary amine.

Ethoxylated amide aminopolysiloxane from the preceding step was quaternized by the following procedure. Using good agitation, 3872g of ethoxylated amide aminopolysiloxane and 360g of isopropanol were brought to a temperature of 27°C. Then, while maintaining good agitation, 412g of dimethyl sulfate was added. Following addition of dimethyl sulfate, an additional 320g of isopropanol were added. The final product contained 12.6% free amine and had a color of 2 on a Gardner(1933) scale. The actives content of the final product was 80 weight percent.

While this invention has been described in terms of various preferred embodiments, the skilled artisan will appreciate that various modifications, substitutes, omissions, and changes may be made without the departing from the spirit thereof. Accordingly, it is intended that the scope of the present invention be limited solely by the scope of the following claims including equivalents thereof.

WE CLAIM:

5

10

15

20

25

30

1. An amino silicone compound represented by the following formula:

wherein a and c are 0-300;

b is 1-300;

d is 0-4;

 R_1 and R_2 , both comprise an alkyloxyalkylene group which may be unsubstituted or substituted with P,N, or S moieties;

 R_3 and R_4 are the same or different and comprise an alkyl group or an alkyloxyalkylene group;

 $\mathbf{Z_1}$ and $\mathbf{Z_2}$ are the same or different and comprise an alkyl group.

- 2. The amino silicone compound of claim 1 where R_1 and R_2 are unsubstituted alkyloxyalkylene groups.
 - 3. The amino silicone compound of claim 2 where d is 1.
- 4. The amino silicone compound of claim 3 wherein at least one R_3 comprises an alkyloxyalkylene group.

5. An aminosilicone compound represented by the following formula:

wherein a and c are each 0-300;

b is 1-300;

d is 0-4;

5

10

15

20

25

R₇ is a carboxylic acid residue;

 R_8 comprises hydrogen, or an alkyloxyalkylene group which may be unsubstituted or substituted with P,N, or S moieties;

 ${\rm R_3}$ and ${\rm R_4}$ are the same or different and comprise an alkyl group or an alkyloxyalkylene group;

- ${
 m Z_1}$ and ${
 m Z_2}$ are the same or different and comprise an alkyl group.
 - 6. The aminosilicone of claim 5 wherein R_8 comprises hydrogen or an unsubstituted alkyloxyalkylene group.
 - 7. The aminosilicone of claim 6 wherein \mathbf{R}_{8} comprises hydrogen.
- 8. The aminosilicone of claim 6 wherein R_8 comprises an alkyloxyalkylene group.
 - 9. The aminosilicone of claim 6 wherein d is 1.
- 10. The amino silicone of claim 9 wherein R_7 comprises a fatty acid residue.

- 11. The amino silicone of claim 10 wherein at least one R_3 comprises an alkyloxyalkylene group.
- 12. An amino silicone compound represented by the following formula:

where a and c are each 0-300;

R, is an alkyl group; and

 $\mathbf{R}_{\!_{4}}$ is an alkoxylated amino substituted alkyl group comprising:

HO-R
$$N-R_{10} win-$$
 9 R_{10} comprises an alkyl group, HO-R

or an amidified amino substituted alkyl group comprising:

$$R_7$$
 $H-N-R_{10}-$ where R_7 is a carboxylic acid

20 residue.

5

15

25

30

35

13. The amino silicone of claim 12 wherein R_4 comprises an alkoxylated amino substituted alkyl group comprising:

14. The amino silicone of claim 12 wherein R_4 comprises an amidified amino substituted alkyl group comprising:

- 15. The amino silicone of claim 13 wherein the alkoxylate groups, R-OH, comprise ethoxylate groups.
- 16. The amino silicone of claim 14 wherein the carboxylic acid residue comprises a fatty acid residue.

17. A cationic silicone represented by the following formula:

where a and c are 0-300;

5

10

20

30

35

R₅ comprises hydrogen, an alkyl, aryl, alkynl, alkenyl, alkyloxyalkylene group which may be unsubstituted or substituted with P,N, or S moieties.

15 R_6 is an unsubstituted or substituted alkyl or aryl group;

 R_3 and R_4 are the same or different and comprise an alkyl group or an alkyloxy alkylene group;

 $\mathbf{Z_1}$ and $\mathbf{Z_2}$ are the same or different and each represent an alkyl group;

b is 1-300;

d is 0-4; and

X is a counter ion.

- 18. The cationic silicone of claim 17 where R_5 comprises a hydrogen or an unsubstituted alkyloxyalkylene.
 - 19. The cationic silicone of claim 17 where $\ensuremath{R_{5}}$ comprises hydrogen.
 - 20. The cationic silicone of claim 17 wherein R_5 comprises alkyloxyalkylene.
 - 21. The cationic silicone of claim 18 wherein d is 1.
 - 22. The cationic silicone of claim 21 where X comprises a methosulfate ion.

20

25

30

- 23. The cationic silicone of claim 21 where R_6 comprises an alkyl group.
- 24. The cationic silicone of claim 23 where at least one R_3 is an alkyloxyalkylene group.
- 25. A cationic silicone represented by the following formula:

where a and c are 0-300;

R7 is an acid residue;

 R_8 comprises hydrogen, an alkyl, aryl, alkynl, alkenyl, alkyloxyalkylene group which may be unsubstituted or substituted with P,N, or S moieties.

 R_9 is an unsubstituted or substituted alkyl or aryl group;

 R_3 and R_4 are the same or diffretn and comprise an alkyl group or an alkyloxy alkylene group;

 $\mathbf{Z_1}$ and $\mathbf{Z_2}$ are the same or different and each represent an alkyl group;

b is 1-300;

d is 0-4; and

X is a counter ion.

- 26. The cationic silicone of claim 25 where R_8 comprises hydrogen or an unsubstituted alkyloxyalkylene group.
- 4 35 27. The cationic silicone of claim 25 where R_{8} comprises hydrogen.

-30-

28. The cationic silicone of claim 25 where R_8 comprises an alkyloxyalkylene group.

29. The cationic silicone of claim 26 where d is 1.

30. The cationic silicone of claim 29 where $R_{\rm p}$ is an alkyl group.

- 31. The cationic silicone of claim 30 where R_7 is a fatty 10 acid residue.
 - 32. The cationic silicone of claim 31 where X comprises a methosulfate ion.
- 15 33. The cationic silicone of claim 32 wherein at least one R_3 is an alkyloxyalkylene group.
 - 34. A cationic silicone represented by the following formula:

where a and c are 0-300;

5

20

25

R₃ comprises an alkyl group;

 R_4 comprises an quaternary amino substituted alkyl or aryl group;

and X comprises a counter ion.

35. The cationic silicone of claim 34 where R_4 comprises a quaternary amino substituted alkyl group comprising:

5

10

where R_{10} comprises hydrogen, an alkyl, aryl, alkynl, alkenyl, alkyloxyalkylene group which may be unsubstituted or substituted with P,N, or S moieties;

 R_{11} comprises an unsubstituted or substituted alkyl or aryl group;

 $\rm R_{12}$ comprises hydrogen or an alkyloxyalkylene group.

- 36. The cationic silicone of claim 35 where R_{10} comprises an alkyl group.
 - 37. The cationic silicone of claim 36 where R_{11} comprises an alkyl group.
- 38. The cationic siliicone of claim 37 where R_{12} comprises hydrogen.
 - 39. The cationic silicone of claim 37 where R_{12} comprises an alkyloxyalkylene group.

25

40. The cationic silicone of claim 37 where X comprises a methosulfate ion.

-32-

41. A method for making a cationic silicone comprising reacting an amino silicone with a quaternizing agent wherein the amino silicone comprises:

wherein a, b, and c are 0-300;

d is 0-4;

10

15

20

25

30

R₁ comprises hydrogen, an alkyl, aryl, alkynl, alkenyl, alkyloxyalkylene group which may be unsubstituted or substituted with P,N, or S moieties;

 R_2 is defined the same as R_1 and can also be carboxylic acid residue with the proviso that where R_2 is a carboxylic acid residue, the R_1 group attached to the terminal N is hydrogen;

 R_3 is defined the same as R_2 and can also be alkoxy, aryloxy or oxyalkylene groups;

 $\rm R_4$ is defined the same as $\rm R_3$ and can also be an amino substituted alkyl or aryl group;

 ${\bf Z_1}$ and ${\bf Z_2}$ can be the same or different and comprise an alkyl, aryl, alkenyl or alkynl group

with the proviso that if b is 0, then at least one of the R_4 groups comprises an amino substituted alkyl or aryl group.

- 42. The method of claim 41 where b is 1-300, d is 1, and R_1 and R_2 comprise hydrogen or an alkyloxyalkylene group.
- 43. The method of claim 42 where R₁ and R₂ comprise hydrogen and R₃, R₄, Z₁ and Z₂ are the same or different and comprise an alkyl group.

- 44. The method of claim 42 where R_1 and R_2 comprise an alkyloxyalkylene group and R_3 , R_4 , Z_1 and Z_2 are the same or different and comprise an alkyl group.
- 45. The method of claim 41 where b is 1-300, d is 1, R_2 comprises a fatty acid residue and R_1 attached to the terminal N comprises hydrogen.
- 46. The method of claim 45 where the other R_1 comprise hydrogen or an alkyloxyalkylene group.

5

25

- 47. The method of claim 41 where b is 0, and R_4 comprises and amino substituted alkyl or aryl group.
- 15 48. The method for making a cationic silicone according to claim 41 wherein the quaternizing agent comprises an alkylation agent.
- 49. The method according to claim 48 wherein the obligating agent comprises alkyl sulfates, aryl sulfates, alkyl halides or aryl halides.
 - 50. The cationic silicone formed by the method according to claim 41.
 - 51. A composition comprising a fibrous material and a lubricant wherein the lubricant comprises the cationic silicone according to claim 41.
- 30 52. The composition of claim 51 wherein the fibrous material comprises natural fibers or synthetic fibers or mixtures thereof.
- 53. The composition of claim 52 wherein the fibrous
 material comprises synthetic fibers which comprise polyester,
 nylon, polypropylene, acrylic, aramide, synthetic cellulosic
 fibers or mixtures thereof.

54. The composition of claim 52 wherein the fibrous material comprises natural fibers which comprise proteinaceous fibers or natural cellulosic fiber or mixtures thereof.

5

- 55. The composition of claim 54 wherein the proteinaceous fibers comprise silk and/or wool.
- 56. The composition of claim 54 wherein the natural cellulosic fiber comprises cotton.
 - 57. The composition of claim 51 wherein the fibrous material comprises a glass fiber, a ceramic fiber, a mineral fiber or mixtures thereof.

15

20

- 58. A composition comprising a cationic silicone and at least one emmolient, cleaning agent, surfactant, detergency builder material, bleach, filler, electrolyte, emulsifier, viscosity modification agent, thickener, or wax wherein the cationic silicone comprises the cationic silicone according to claim 41.
- 59. The composition according to claim 58 further comprising a solvent.

25

- 60. A composition comprising a flexible substrate material and the cationic silicone according to claim 41.
- 61. The composition according to claim 60 wherein the flexible substrate material is in sheet form.

INTERNATIONAL SEARCH REPORT

International Application No. PCT/US91/04506

	The second secon			
I	SIFICATION OF SUBJECT MATTER (if several cla			
1PC"(5	(1) international Patent Classification (IPC) of to both to CO7F, 7/08, CO7F, 7/10, C10M, 10	73,000,C10M,105,76,C10M,10	5/74,CO1B,3/00	
	7/00,C09K,3/00,C09D,4/00,C09D,1/	00,8328,9700,8328,3700,1	COM, 11/02,	
II. FIELD	S SEARCHED DO6M, 13/322			
<u> </u>		nentation Searched 7		
U.S.CI		8.1,252/86.1,252/358,8/1	252/8 - 6 15.6,8/181,8/188	
	Documentation Searched other	er than Minimum Documentation		
III. DOC	UMENTS CONSIDERED TO BE RELEVANT 9		[B.1	
Category *	Citation of Document, 11 with indication, where a	ppropriate, of the relevant passages 12	Relevant to Claim No. 13	
A, P	US, A, 4,994,593 (LIN ET AL.)	02 February 1991	1-61	
A	US, A, 3,402,191 (MOREHOUSE)	1-61		
A	US, A, 3,471,541 (MOREHOUSE)	1-61		
A	US, A, 3,565,936 (MOREHOUSE)	1-61		
	I categories of cited documents: 10	"T" later document published after the	e international filing date	
con: "E" earli	ument defining the general state of the art which is npt sidered to be of particular relevance ier document but published on or after the international of date	or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention. "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step. "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the		
"L" doc	g date ument which may throw doubts on priority claim(s) or th is cited to establish the publication date of another tion or other special reason (as specified)			
"O" doce	ument referring to an oral disclosure, use, exhibition or er means ument published prior to the international filing date but	document is combined with one of ments, such combination being of in the art.	or more other such docu- ovious to a person skilled	
later	r than the priority date claimed	"&" document member of the same pa	itent family	
	Actual Completion of the International Search	Date of Mailing of this International Sea	rch Report	
	tober 1991	24 OCT 1991		
	al Searching Authority	Signature of Authorized Officer	Ho Araman	
TSA /TIS		Paul F. Shaver	HONAL DEVICE TON	

THIS PAGE BLANK (USPTO)